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Client-Matter# 3

32715-2001

From

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United States Patent and

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Comments

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Matthew J. Marquardt Registration No. 40,997 March 3(

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| | | | 10/735 | 10/735.749 | | |
| TR | ANSMITTAL | Filing Date | | December 16, 2003 | | |
| FORM | | First Named Inventor | | MONTELEONE, Leonard C. | | |
| | | Art Unit | 3628 | 3628 | | |
| (to be used for all correspondence after initial filing) | | Examiner Name | sougi | SOUGH, Hyung Sub | | |
| Total Number of Pages In This Submission 2 | | Attorney Docket Numbe | 32715- | 32715-2001 | | |
| ENCLOSURES (Check all that apply) | | | | | | |
| Fee Trans | mittal Form | Drawing(s) | | After Allowance Communication to | o TC | |
| | e Attached | LicensIng-related Papers | | Appeal Communication to Board of Appeals and Interferences | | |
| Extension Express A Information Certifled C Document | ter Final idavits/declaration(s) of Time Request bandonment Request n Disclosure Statement copy of Priority (s) | Petition Petition to Convert to a Provisional Application Power of Altorney, Revoce Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on | e Address | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identition): | | |
| Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | TUDE OF ADDITIONAL ATT | | ST AVAILABLE COI | PY | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name / | | | | | | |
| Torys LLP - 33721 | | | | | | |
| Signature | | | | | _ | |
| Printed name Matthew J. Marquardt | | | | | | |
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| Signature | No | | | | | |
| Typed or printed n | ame MARQUARL | J. Matthew J. | | Date 31 MAKCH ZUUC | | |

This collection of information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case, Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandra, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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32715-2001

PATENT APPLICATION -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

MONTELEONE, Leonard

Application No.: 10/735,749

Filed: December 16, 2003

SYSTEM AND METHOD FOR For:

> TRADING OF MORTGAGE **BACKED SECURITIES**

Examiner:

SOUGH, Hyung Sub

Group Art Unit:

3628

Confirmation No.: 3359

Date Submitted:

March 30, 2006

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Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 U.S.A.

STATUS REQUEST

Applicants respectfully inquire as to when an examination of the above-identified application can be expected. Applicants request that a response be directed to Applicants' attorney at the correspondence address indicated below.

Respectfully Submitted,

Matthew J. Marquardt Attorney for Applicants

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